

WOLF-GEORG RINGE

LIST OF PUBLICATIONS

(November 2021)

CURRENT PROJECTS AND WORKING PAPERS

- Wolf-Georg Ringe, ‘Investor-Led Sustainability in Corporate Governance’, ECGI Law Working Paper No 615/2021, available at <<https://ssrn.com/abstract=3958960>> or <<http://dx.doi.org/10.2139/ssrn.3958960>>
- Alessio Azzutti, Wolf-Georg Ringe and Siegfried Stiehl, ‘Machine Learning, Market Manipulation and Collusion on Capital Markets: Why the “Black Box” matters’, EBI Working Paper no. 84/2021, available at <<https://ssrn.com/abstract=3788872>>, forthcoming in *University of Pennsylvania Journal of International Law*
- Wolf-Georg Ringe, ‘Stewardship and Shareholder Engagement in Germany’ in: Dionysia Katelouzou and Dan W. Puchniak (eds), *Global Shareholder Stewardship: Complexities, Challenges and Possibilities* (forthcoming, Cambridge University Press 2022)
- Wolf-Georg Ringe and Jatine Patel, ‘The Dark Side of Bank Resolution: Counterparty Risk through Bail-in’, European Banking Institute Working Paper No 31/2019, available at <<https://ssrn.com/abstract=3314103>>

I. MONOGRAPHS

- *The Anatomy of Corporate Law: A Comparative and Functional Approach* (with Reinier Kraakman and others) (3rd edn, Oxford University Press 2017) XVII, 281 pages
Translated versions: Portuguese (Brazil, 2018), Chinese (2019), Georgian (2019), Korean (2020), Arabic (2021), Spanish (forthcoming), German (forthcoming)
- *The Deconstruction of Equity – Activist Shareholders, Decoupled Risk, and Corporate Governance* (Oxford University Press 2016) XVI, 269 pages
- *Regulatory Arbitrage and Regulatory Competition in the Governance of Global Financial Markets / Arbitrage et concurrence réglementaires dans la gouvernance des marchés financiers mondiaux (Second Paule Gauthier Annual Lecture)* (Yvon Blais 2015), 135 pages
- *Englisches Handels- und Wirtschaftsrecht* (together with Volker Triebel and others) (3rd edn, Verlag Recht und Wirtschaft, Heidelberg 2012), 580 pages
- *Die Sitzverlegung der Europäischen Aktiengesellschaft* (Studien zum ausländischen und internationalen Privatrecht vol 171) (Mohr Siebeck, Tübingen 2006) XX, 274 pages

II. EDITED BOOKS

- *Business Law and the Transition to a Net Zero Economy* (together with Andreas Engert, Luca Enriques, Umakanth Varottil, and Thom Wetzer) (Beck / Nomos / Hart 2022)
- *Financial Stability Amidst the Pandemic Crisis: On Top of the Wave* (together with Christos V. Gortsos) (EBI, Frankfurt 2021), ebook available at <<https://ssrn.com/abstract=3877946>>
- *Pandemic Crisis and Financial Stability* (together with Christos V. Gortsos) (EBI, Frankfurt 2020), ebook available at <<https://ssrn.com/abstract=3607930>>
- *The Oxford Handbook of Corporate Law and Governance* (together with Jeffrey N. Gordon) (Oxford University Press 2018) (paperback 2020), online version available at <<http://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780198743682.001.0001/oxfordhb-9780198743682>>
- *German and Nordic Perspectives on Company Law and Capital Markets Law* (together with Holger Fleischer and Jesper Lau Hansen) (Mohr Siebeck, Tübingen 2015)
- *Legal Challenges in the Global Financial Crisis: Bail-outs, the Euro, and Regulation* (together with Peter M. Huber) (Hart Publishing, Oxford 2014) (paperback 2015)
- *Company Law and Economic Protectionism – New Challenges to European Integration* (together with Ulf Bernitz) (Oxford University Press, 2010)
- *Current Issues in European Financial and Insolvency Law: Perspectives from France and the UK* (together with Louise Gullifer and Philippe Théry) (Hart Publishing, Oxford 2009)
- *Perspektiven des Wirtschaftsrechts – Deutsches, europäisches und internationales Handels-, Gesellschafts- und Kapitalmarktrecht. Beiträge für Klaus J. Hopt aus Anlass seiner Emeritierung* (together with Harald Baum and others) (de Gruyter, Berlin/New York 2008)

III. JOURNAL ARTICLES AND CHAPTERS IN BOOKS

- Pedro Magalhães Batista and Wolf-Georg Ringe, ‘Dynamism in Financial Market Regulation: Harnessing Regulatory and Supervisory Technologies’, (2021) 4 Stanford Journal of Blockchain Law & Policy 203-220
- Wolf-Georg Ringe and Christopher Ruof, ‘Robo Advice: Legal and Regulatory Challenges’ in: Iris H-Y Chiu and Gudula Deipenbrock (eds), *Routledge Handbook of Financial Technology and Law* (Routledge 2021) 193-212
- Wolf-Georg Ringe, ‘Brexit and how it affects capital markets (regulation)’ in: Jörn A. Kämmerer and Hans-Bernd Schäfer (eds), *Brexit: Legal and Economic Aspects of a Political Divorce* (Edward Elgar 2021) 145-180

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- ‘Stewardship and Shareholder Engagement in Germany’ (2021) 22 *European Business Organization Law Review* (EBOR) 87-124
 - ‘Lessons from the pandemic for European finance: a twin transformation towards green technology’ in Christos Gortsos and Wolf-Georg Ringe (eds), *Financial Stability Amidst the Pandemic Crisis: On Top of the Wave* (EBI, Frankfurt 2021) 56-81
 - ‘Bank-Fintech Partnerships, Outsourcing Arrangements, and the Case for a Mentorship Regime’ (2020) 15 *Capital Markets Law Journal* 374-397 (with Luca Enriques)
 - ‘Interne und externe Corporate Governance bei Banken’ [‘Internal and External Corporate Governance for Banks’] in Stefan Grundmann and others (eds), *Festschrift für Klaus J. Hopt zum 80. Geburtstag* (de Gruyter, Berlin 2020) 1037-1052
 - ‘Renforcer l’architecture de la zone euro par le marché’ (2020) 9 *Journal des Libertés* 27-43
 - ‘Renforcer l’architecture de la zone euro par le marché (seconde partie)’ (2020) 11 *Journal des Libertés* 189-211
 - ‘How to Rescue Startups During the Pandemic’ in Horst Eidenmüller and others (eds), *Covid-19 and Business Law* (C.H. Beck / Hart / Nomos 2020) 41-45 (with Dorothea Ringe)
 - ‘COVID-19 and European Banks: no time for lawyers’ in Christos V Gortsos and Wolf-Georg Ringe (eds), *Pandemic Crisis and Financial Stability* (EBI, Frankfurt 2020) 43-62, <<https://ssrn.com/abstract=3607930>>
 - ‘Regulating Fintech in the EU: the Case for a Guided Sandbox’ (2020) 11 *European Journal of Risk Regulation* 604-629 (with Christopher Ruof)
 - ‘Bank Resolution in Europe: The Unfinished Agenda of Structural Reform’ in Danny Busch and Guido Ferrarini (eds), *European Banking Union* (OUP, 2nd edn 2020), (with Jeffrey N. Gordon)
 - ‘A Holistic Approach to the Institutional Architecture of Financial Supervision and Regulation in the EU’ (co-authored with Luis Morais and David Ramos), in Veerle Colaert, Danny Busch and Thomas Incalza (eds), *European Financial Regulation – Levelling the Cross-Sectoral Playing Field* (Hart Publishing, 2019) 405-429
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 - ‘The Politics of Capital Markets Union: From Brexit to Eurozone’ in Franklin Allen and others (eds), *Capital Markets Union and Beyond* (MIT Press 2019) 341-352
 - ‘Der Standort Hamburg im Finanzmarkt: eine Renaissance’ in Tilman Repgen, Florian Jeßberger and Markus Kotzur (eds), *100 Jahre Rechtswissenschaft an der Universität Hamburg* (Mohr Siebeck, Tübingen 2019) 709-731
 - ‘Bank Bail-In between Liquidity and Solvency’ (2018) 92 *American Bankruptcy Law Journal* 299-334

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- ‘The Irrelevance of Brexit for the European Financial Market’ (2018) 19 *European Business Organization Law Review* (EBOR) 1-34
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 - ‘Das Beschlussmängelrecht in Großbritannien’ (2017) 81 *Rabels Zeitschrift für ausländisches und internationales Privatrecht* (RabelsZ) 243-292 (‘Contesting Shareholder Resolutions in the UK’)
 - ‘Insolvency Forum Shopping, Revisited’ (2017) 3 *Hamburg Law Review* 38-59
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 - ‘Bank Resolution in Europe: The Unfinished Agenda of Structural Reform’ in Danny Busch and Guido Ferrarini (eds), *European Banking Union* (OUP 2015) 500-523 (co-authored with Jeffrey N. Gordon)
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- ‘Corporate Mobility in the European Union – a Flash in the Pan? An empirical study on the success of lawmaking and regulatory competition’ (2013) 10 *European Company and Financial Law Review* (ECFR) 230-267
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- ‘European Corporate Law 1999-2010: Renaissance and Crisis’ (co-authored with John Armour), (2011) 48 *Common Market Law Review* 125-174
 - received *ECGI prize for the Best Law Paper of the Year 2011* –
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 - ‘Is *Volkswagen* the new *Centros*? Free Movement of Capital’s Impact on Company Law’ in Dan Prentice and Arad Reisberg (eds), *Corporate Finance Law: UK and EU Perspectives* (OUP, 2011) 461-492
 - ‘Company Law and Free Movement of Capital’ (2010) 69 *The Cambridge Law Journal* 378-409
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 - ‘Keine Berufungszuständigkeit des OLG nach § 119 GVG bei Beteiligung einer Scheinauslandsgesellschaft’ (2008) 19 *Europäische Zeitschrift für Wirtschaftsrecht* (EuZW) 44-48 (co-authored with Charlotte Willemer)

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- ‘The European Company Statute in the context of Freedom of Establishment’ (2007) 7 *Journal of Corporate Law Studies* (JCLS) 185-212
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 - ‘Mitbestimmungsrechtliche Folgen einer SE-Sitzverlegung’ (2006) 9 *Neue Zeitschrift für Gesellschaftsrecht* (NZG) 931-935
 - ‘Die „deutsche Limited“ in der Insolvenz – Zugleich Anmerkung zu LG Kiel, Urt. v. 20.4.2006 - 10 S 44/05’ (2006) 17 *Europäische Zeitschrift für Wirtschaftsrecht* (EuZW) 621-625 (co-authored with Charlotte Willemer)
 - ‘No freedom of emigration for companies?’ (2005) 16 *European Business Law Review* (EBLR) 621-642

IV. COMMENTARIES ON LEGISLATION

- ‘Commentary on Articles 3 – 6 of the EIR’ in: Reinhard Bork and Kristin van Zwieten (eds), *Commentary on the European Insolvency Regulation* (2nd edition, Oxford University Press 2022)
- ‘International Company Law’, ‘§ 5 AktG’ and ‘§ 45 AktG’ in Karsten Schmidt and Marcus Lutter (eds), *Aktiengesetz – Kommentar* (Otto Schmidt Verlag, 3rd edition, Cologne 2015; 4th edition, Cologne 2020)
- ‘Commentary on Articles 17-21 of the Market Abuse Regulation 596/2014’ in Matthias Lehmann and Christoph Kumpan (eds), *European Financial Services Law* (Nomos/Beck/Hart 2019) 778-835
- ‘Commentary on the Transparency Directive 2004/109/EC’ in Matthias Lehmann and Christoph Kumpan (eds), *European Financial Services Law* (Nomos/Beck/Hart 2019) 1099-1230
- ‘Commentary on Articles 3 – 6 of the EIR’ in: Reinhard Bork and Kristin van Zwieten (eds), *Commentary on the European Insolvency Regulation* (Oxford University Press 2016)
- ‘Annotated guide on Articles 7, 8 SE Regulation’, in Marcus Lutter, Peter Hommelhoff and Christoph Teichmann (eds), *SE-Kommentar: SE-VO, SEAG, SEBG, Steuerrecht* (Otto Schmidt Verlag, 2nd ed, Cologne 2015)
- ‘Annotated guide on sections 895-999 and 1044-1059 CA 2006’, in Alexander Schall (ed), *Companies Act* (CH Beck, Munich 2014)

- ‘Annotated guide on Rome I Regulation, Articles 1-5, 9, 11, 18-29’ in Maximilian Herberger and others (eds), *juris Praxiskommentar BGB* (4th edn, juris, Saarbrücken 2009; 5th edn 2010; 6th edn 2012; 7th edn 2014; 8th edn 2017; 9th edn 2020)
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V. CASE NOTES

- ‘*Kornhaas* and the Challenge of Applying *Keck* in Establishment’ (2017) 42 *European Law Review* 270-279
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- ‘Haftung des „Director“ einer englischen „Limited“ gemäß § 64 GmbHG (zu EuGH, Urt. v. 10.12.2015 – C-594/14 *Kornhaas*)’ (2016) 71 *Juristenzeitung* 573-577
- ‘Sekundärinsolvenzverfahren nach der Europäischen Insolvenzverordnung (zu BGH, 8.3.2012 – IX ZB 178/11)’ (2013) 33 *Praxis des Internationalen Privat- und Verfahrensrechts (IPRax)* 330-332
- ‘Zur Anwendung von § 64 GmbHG auf eine Englische Limited – Besprechung von KG, Urt. v. 24.9.2009 – 8 U 250/08’ (2010) 13 *Neue Zeitschrift für Gesellschaftsrecht (NZG)* 56
- ‘Case Note on the Opinion of Advocate General Maduro of 22 May 2008 in case C-210/06 (*Cartesio*)’, (2008) 29 *Zeitschrift für Wirtschaftsrecht (ZIP)* 1072-1075
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- ‘Public bodies and EC Competition Law – *FENIN v Commission*’ [2007] *Bulletin of International Legal Developments (BILD)* 39-40
- ‘Case Note on the judgment by OLG München of 27 July 2006 – 7 U 2287/06’ (international jurisdiction for equity-replacing lawsuits) [2007] *Entscheidungen zum Wirtschaftsrecht (EWiR)* 153-154 (EWiR Art. 1 LugÜ 1/07) (together with Charlotte Willemer)
- ‘Insolvenzanfechtungsklagen im System des europäischen Zivilverfahrensrechts – Anmerkung zum Urteil des OLG Frankfurt v. 26.1.2006 (15 U 200/05)’ [2006] *Zeitschrift für das gesamte Insolvenzrecht (ZInsO)* 700-701
- ‘Case Note on case C-411/03 *Sevic Systems AG*’ (admissibility of cross-border mergers) (2005) 57 *Der Betrieb (DB)* 2806-2807

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VI. BOOK REVIEWS

- *International Handbook on Shareholders’ Agreements. Regulation, Practice and Comparative Analysis*. Ed. by Sebastian Mock, Kristián Csach, Bohumil Havel. – Berlin, Boston: De Gruyter 2018. XII, 679 pp. (De Gruyter Handbook), in: (2019) 83 *The Rabel Journal of Comparative and International Private Law (RabelsZ)* 448-451
- *Grenzüberschreitende Finanzdienstleistungen: Das Internationale Finanzmarkt-, Privat- und Zivilprozessrecht Deutschlands, Österreichs, der Schweiz und Liechtensteins*, edited by Dirk A. Zetzsche and Matthias Lehmann. Tübingen: Mohr Siebeck, 2018. LV + 550 pages, in: [2018] *Die Aktiengesellschaft* 683
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- *Corporate Ownership and Control – British Business Transformed*, by Brian Cheffins. Oxford: Oxford University Press, 2008. xviii + 423 pages. Hardback, in: (2011) 12 *European Business Organization Law Review* 173-175
- *The European Private Company (SPE): A Critical Analysis of the EU Draft Statute*, edited by D.F.M.M. Zaman, C.A. Schwarz, M.L. Lennarts, H.-J. de Kluiver, and A.F.M. Dorresteijn [Antwerp: Intersentia, 2009. xviii + 284 pp.], in: (2010) 35 *European Law Review* 900-901
- *The European Company, Volume II*. Edited by Dirk van Gerven and Paul Storm. Cambridge: Cambridge University Press, 2008. 513 + ix pages. Hardback, in: (2009) 9 *Journal of Corporate Law Studies (JCLS)* 257-259

VII. BLOGS, SHORTER AND OTHER CONTRIBUTIONS

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- ‘Financial Stability During and After Covid’, Oxford Business Law Blog, 9 July 2021, <<https://www.law.ox.ac.uk/business-law-blog/blog/2021/07/financial-stability-during-and-after-covid>> (with Christos V. Gortsos)
 - ‘Machine Learning, Market Manipulation, and Collusion on Capital Markets: Why the “Black Box” Matters’, Oxford Business Law Blog, 4 March 2021, <<https://www.law.ox.ac.uk/business-law-blog/blog/2021/03/machine-learning-market-manipulation-and-collusion-capital-markets>> (with Alessio Azzutti and Siegfried Stiehl)
 - ‘The DLT Pilot Regime: An EU Sandbox, at Last!’, Oxford Business Law Blog, 19 November 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/11/dlt-pilot-regime-eu-sandbox-last>> (with Christopher Ruof)
 - ‘Building a European market for crypto-assets: Who’s afraid of Libra?’, Oxford Business Law Blog, 27 October 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/10/building-european-market-crypto-assets-whos-afraid-libra>>
 - ‘The EU Sustainable Corporate Governance Initiative—room for improvement’, Oxford Business Law Blog, 15 October 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/10/ec-corporate-governance-initiative-series-eu-sustainable-corporate>> (with Alexander Bassen and Kerstin Lopatta)
 - ‘Fintech Startups and Incumbent Players Series – Bank-Fintech Partnerships, Outsourcing Arrangements, and the Case for a Mentorship Regime’, Oxford Business Law Blog, 8 July 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/07/fintech-startups-and-incumbent-players-series-bank-fintech>> (with Luca Enriques)

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- ‘GCGC/ECGI Global Webinar Series – How to Rescue Startups during the Pandemic’, Oxford Business Law Blog, 5 May 2020, <<https://www.law.ox.ac.uk/business-law-blog/blog/2020/05/gcgcecgi-global-webinar-series-how-rescue-startups-during-pandemic>> (with Dorothea Ringe)

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- ‘Market Manipulation and Collusion by AI in Finance: a Primer’, Machine Lawyering Blog, 18 February 2020, <<https://www.legalanalytics.law.cuhk.edu.hk/post/market-manipulation-and-collusion-by-ai-in-finance-a-primer>> (with Alessio Azzutti)
 - ‘Fintech Startups and Incumbent Players. Policy Challenges and Opportunities’, Oxford Business Law Blog, 18 September 2019, <<https://www.law.ox.ac.uk/business-law-blog/blog/2019/09/call-papers-fintech-startups-and-incumbent-players-policy-challenges>> (with Luca Enriques)
 - ‘The Dark Side of Bank Resolution: Counterparty Risk through Bail-in’, Oxford Business Law Blog, 29 March 2019, <<https://www.law.ox.ac.uk/business-law-blog/blog/2019/03/dark-side-bank-resolution-counterparty-risk-through-bail>> (with Jatine Patel)
 - ‘Keeping up with Innovation: Designing a European Sandbox for Fintech’ Machine Lawyering, The Chinese University of Hong Kong, 18 February 2019, <<https://www.legalanalytics.law.cuhk.edu.hk/single-post/2019/02/19/Keeping-up-with-Innovation-Designing-a-European-Sandbox-for-Fintech>> (with Christopher Ruof)
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